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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|  |                      |                        |         |
|--|----------------------|------------------------|---------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 09/631,309             |         |
|  | Filing Date          | August 2, 2000         |         |
|  | First Named Inventor | Gindi                  |         |
|  | Group Art Unit       | 2876                   |         |
|  | Examiner Name        | Taylor, Larry D.       |         |
| Total Number of Pages in This Submission   | 17                   | Attorney Docket Number | 362-002 |

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| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment / Reply                        | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Extension of Time Request                | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
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| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                   |
|--|-----------------------------------|
| Firm or Individual name                    | John F. Ward, Esq. - Ward & Olivo |
| Signature                                  |                                   |
| Date                                       | 7/1/02                            |

| CERTIFICATE OF MAILING  |                  |
|---|------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: July 1, 2002 |                  |
| Typed or printed name   | Michael J. Zoppo |
| Signature   |                  |
| Date  | 7.1.02           |

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**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 200.00

## Complete if Known

|                      |                 |
|----------------------|-----------------|
| Application Number   | 09/631,309      |
| Filing Date          | 08/02/2000      |
| First Named Inventor | Gindi           |
| Examiner Name        | Taylor, Larry D |
| Group Art Unit       |                 |
| Attorney Docket No.  | 362-002         |

## METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

23-0420

Deposit  
Account  
Name

Ward &amp; Olivo

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description |
|-------------|-------------|-------------|-------------|-----------------|
|-------------|-------------|-------------|-------------|-----------------|

|     |     |     |     |                        |
|-----|-----|-----|-----|------------------------|
| 101 | 740 | 201 | 370 | Utility filing fee     |
| 106 | 330 | 206 | 165 | Design filing fee      |
| 107 | 510 | 207 | 255 | Plant filing fee       |
| 108 | 740 | 208 | 370 | Reissue filing fee     |
| 114 | 160 | 214 | 80  | Provisional filing fee |

Fee Paid

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** =      | X              |          |
| Multiple Dependent | -3** =       | X              |          |

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description |
|-------------|-------------|-------------|-------------|-----------------|
|-------------|-------------|-------------|-------------|-----------------|

|     |     |     |     |  |
|-----|-----|-----|-----|--|
| 103 | 18  | 203 | 9   | Claims in excess of 20                                     |
| 102 | 84  | 202 | 42  | Independent claims in excess of 3                          |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid                      |
| 109 | 84  | 209 | 42  | ** Reissue independent claims over original patent         |
| 110 | 18  | 210 | 9   | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large<br>Entity<br>Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Small<br>Entity<br>Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|----------|
| 105                            | 130                            | 205                            | 65                             | Surcharge - late filing fee or oath  |          |
| 127                            | 50                             | 227                            | 25                             | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                            | 130                            | 139                            | 130                            | Non-English specification  |          |
| 147                            | 2,520                          | 147                            | 2,520                          | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                            | 920*                           | 112                            | 920*                           | Requesting publication of SIR prior to Examiner action                     |          |
| 113                            | 1,840*                         | 113                            | 1,840*                         | Requesting publication of SIR after Examiner action                        |          |
| 115                            | 110                            | 215                            | 55                             | Extension for reply within first month                                     |          |
| 116                            | 400                            | 216                            | 200                            | Extension for reply within second month                                    | 200.00   |
| 117                            | 920                            | 217                            | 460                            | Extension for reply within third month                                     |          |
| 118                            | 1,440                          | 218                            | 720                            | Extension for reply within fourth month                                    |          |
| 128                            | 1,960                          | 228                            | 980                            | Extension for reply within fifth month                                     |          |
| 119                            | 320                            | 219                            | 160                            | Notice of Appeal   |          |
| 120                            | 320                            | 220                            | 160                            | Filing a brief in support of an appeal                                     |          |
| 121                            | 280                            | 221                            | 140                            | Request for oral hearing   |          |
| 138                            | 1,510                          | 138                            | 1,510                          | Petition to institute a public use proceeding                              |          |
| 140                            | 110                            | 240                            | 55                             | Petition to revive - unavoidable   |          |
| 141                            | 1,280                          | 241                            | 640                            | Petition to revive - unintentional   |          |
| 142                            | 1,280                          | 242                            | 640                            | Utility issue fee (or reissue)   |          |
| 143                            | 460                            | 243                            | 230                            | Design issue fee   |          |
| 144                            | 620                            | 244                            | 310                            | Plant issue fee  |          |
| 122                            | 130                            | 122                            | 130                            | Petitions to the Commissioner  |          |
| 123                            | 50                             | 123                            | 50                             | Processing fee under 37 CFR 1.17(q)  |          |
| 126                            | 180                            | 126                            | 180                            | Submission of Information Disclosure Stmt                                  |          |
| 581                            | 40                             | 581                            | 40                             | Recording each patent assignment per property (times number of properties) |          |
| 146                            | 740                            | 246                            | 370                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                            | 740                            | 249                            | 370                            | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                            | 740                            | 279                            | 370                            | Request for Continued Examination (RCE)                                    |          |
| 169                            | 900                            | 169                            | 900                            | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 200.00

## SUBMITTED BY

Name (Print/Type) John F. Ward

Registration No. 33,811  
(Attorney/Agent)

## Complete (if applicable)

Telephone 212-697-6262

Signature

Date

7/1/02

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